

To professional securities market  
participants\*

On Submission of Notifications in the  
Form of an Electronic Document with E-  
signature

In connection with the improvement of the Bank of Russia information and analytical system for the reception, systematisation and processing of incoming notifications in the form of electronic documents with e-signatures on the person appointed to the position of a sole executive body and/or a controller and persons elected (re-elected) to the Board of Directors (Supervisory Board) (hereinafter, the Notifications), and in accordance with Clauses 2.13 and 2.14 of the Regulation on Licensing Requirements and Terms for Carrying Out Professional Activities in the Securities Market approved by FFMS Order No. 10-49/pz-n, dated 20 July 2010 (hereinafter, the Regulation), the Bank of Russia informs the professional participants of the securities market (hereinafter, professional participants) that the recommendations set forth in FFMS Letter No. 12-DP-02/1668, dated 19 January 2012 regarding the submission of hard-copy Notifications are no longer applicable by professional participants.

To prepare their Notifications, professional participants shall use the Program for Preparation of Electronic Documents, version 2.16.3 (hereinafter, the Questionnaire Program) posted on the Bank of Russia website at <http://www.cbr.ru> in the Section Financial markets/Financial market participants/Electronic document flow.

When preparing electronic Notification documents with the Questionnaire

Program, professional participants can attach scanned copies of the documents prescribed in Clauses 2.13 and 2.14 of the Regulation by using the Attach File item in the program menu.

The Notifications are to be submitted to the Bank of Russia in accordance with the Procedure for Electronic Document Flow When Submitting Electronic Documents with E-signature to the Federal Financial Markets Service approved by FFMS Order No. 10-21/pz-n, dated 25 March 2010.

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\* In case of any translation ambiguity, the Russian version shall prevail.