

**THE CENTRAL BANK
OF THE RUSSIAN FEDERATION
(Bank of Russia)**

To securities market participants and
issuers of issue-grade securities*

**Financial Market
Access Department**

12 Neglinnaya Street, 107016 Moscow

No. 52-4/10489, dated 11 November 2014

**On New State Fees Charged by Bank
of Russia for Legal Acts**

In connection with the entry into force from 1 January 2015 of Federal Law No. 221-FZ, dated 21 July 2014, 'On Amending Chapter 25³ of Part Two of the Tax Code of the Russian Federation' this is to inform you that the said Federal Law establishes the new amounts of state fees charged for legal acts performed by the Bank of Russia. The table for the amounts of state fees prepared within the competence of the Financial Market Access Department is enclosed with this letter.

Enclosure: 1 copy on 4 sheets

Director

Elena Kuritsyna

Table of State Fees to be Charged from 1 January 2015 for Legal Acts Performed by the Bank of Russia (within the Competence of the Financial Market Access Department)

No.	Budget Classification Code				
	Type of Income Source (Legal Act)	Current amount of state fee, rubles	Regulation establishing state fee amount	State fee amount as of 1 January 2015, rubles	Note
1.	9991 0807040 01 1000110				
1 1	State registration of an issue (additional issue) of issue-grade securities placed otherwise than by subscription	20,000	Sub-clause 53 of Clause 1 of Article 333 33 of the Tax Code of the Russian Federation (hereinafter, the Russian Tax Code)	35,000	
1 2	State registration of an (additional) issue report of issue-grade securities, except for the registration of such report simultaneously with the state registration of the (additional) issue of issue-grade securities	20,000		35,000	
1 3	Registration of a securities prospectus (if the state registration of the (additional) issue of issue-grade securities was not accompanied by the registration of their prospectus)	20,000		35,000	
1 4	State registration of Russian depository receipts, (additional) issue of issuer options	200,000		325,000	
1 5	State registration of a prospectus for Russian depository receipts or issuer options (if the state registration of the issue of Russian depository receipts or the (additional) issue of issuer options was not accompanied by the registration of their prospectus)	20,000		35,000	
1 6	State registration of amendments to a decision on the (additional) issue of issue-grade securities and/or to their prospectus	20,000		35,000	
1 7	Registration of the main part of a securities prospectus	200,000		325,000	
1 8	Preliminary review of documents required for state registration of an (additional) issue of securities	100,000		160,000	
2.	999 1 0807050 01 1000 110				
2 1	Registration of pension and insurance rules for a non-government pension fund	2,000	Sub-clause 54 of Clause 1 of Article 333 33 of the Russian Tax Code	3,500	
22	Registration of amendments to the pension and insurance rules of a non-government pension fund	1,000		1,600	
23	Registration of trust management rules for a mutual investment fund	60,000	Sub-clause 56 of Clause 1 of Article 333 33 of the Russian Tax Code	95,000	
24	Registration of amendments to the trust management rules for a mutual investment fund	10,000		16,000	
25	Review of an application for the registration of amendments and additions to the documents of trade organisers	1,000	Sub-clause 57 1 of Clause 1 of Article 333 33 of the Russian Tax Code	1,200	
26	Registration of amendments and additions to the documents of trade organisers	20,000		25,000	

No.	Budget Classification Code				
	Type of Income Source (Legal Act)	Current amount of state fee, rubles	Regulation establishing state fee amount	State fee amount as of 1 January 2015, rubles	Note
27	Registration of amendments to the rules of clearing activities	20,000	Sub-clause 57 of Clause 1 of Article 333 33 of the Russian Tax Code	35,000	
28	Registration of rules for internal controls in a management company or specialised depository	10,000		16,000	
29	Registration of amendments to the rules for internal controls in a management company or specialised depository	2,000		3,500	
2 10	Registration of rules for maintaining the register of unit investment holders of a mutual investment fund	10,000		16,000	
211	Registration of amendments to the rules for maintaining the register of unit investment holders of a mutual investment fund	2,000		3,500	
2 12	Registration of regulations for a specialised depository; registration of regulations for a mortgage pool, a joint-stock investment fund, a unit investment fund, a non-government pension fund, or a specialised depository providing services for pension savings transferred to non-government pension funds operating as insurers for compulsory pension insurance; or regulations for a specialised depository providing services for pension savings transferred by the Russian Pension Fund to a private management company or state management company, or regulations for a specialised depository providing services for savings intended to provide housing for military personnel	10,000		16,000	
2 13	Registration of amendments to regulations for a specialised depository; regulations for a mortgage pool, a joint-stock investment fund, a unit investment fund, a non-government pension fund, or a specialised depository providing services for pension savings transferred to non-government pension funds operating as insurers for compulsory pension insurance; or regulations for a specialised depository providing services for pension savings transferred by the Russian Pension Fund to a private management company or state management company or regulations for a specialised depository providing services for savings intended to provide housing for military personnel	2,000		3,500	
3.	999 1 0807060 01 1000 110				
3 1	Issuance of authorisation for placement and/or circulation of issue-grade securities of Russian issuers outside the Russian Federation, including through placement of securities of foreign issuers certifying rights to issue-grade securities of Russian issuers in accordance with foreign law	20,000	Sub-clause 55 of Clause 1 of Article 333 33 of the Russian Tax Code	35,000	
4.	999 1 0807081 01 0300 110				
4 1	Granting of an exchange licence, including for registration of documents when issuing the licence	200,000		325,000	
42	Granting of a trading system licence	100,000		225,000	
43	Granting of a licence for clearing activities, including for registration of documents when issuing the licence	200,000		325,000	

No.	Budget Classification Code				
	Type of Income Source (Legal Act)	Current amount of state fee, rubles	Regulation establishing state fee amount	State fee amount as of 1 January 2015, rubles	Note
44	Granting of an investment fund licence	20,000	Sub-clause 58 of Clause 1 of Article 333 33 of the Russian Tax Code	35,000	
45	Granting of a licence for managing an investment fund, unit investment fund or non-government pension fund	20,000		35,000	
46	Granting of a licence for the special depository of investment funds, unit investment funds or non-government pension funds	20,000		35,000	
47	Granting of a broker licence	20,000		35,000	
48	Granting of a dealer licence	20,000		35,000	
49	Granting of a depository licence	20,000		35,000	
4 10	Granting of a trust management licence	20,000		35,000	
4 11	Licensing of other activities	6,000	Sub-clause 92 of Clause 1 of Article 333 33 of the Russian Tax Code	7,500	For NPFs, insurance companies
5.	991 0807081 01 0500110				
5 1	Re-registration of a document confirming a licence and/or an annex to such document	600	Sub-clause 92 of Clause 1 of Article 333 33 of the Russian Tax Code	750	For all licenses
52	Re-registration of a document confirming a licence and/or an annex to such document in connection with amendments to information on works performed and services provided within the licensed type of activities	2,600		3,500	For mutual insurance companies
6.	999 1 0807081 01 0700 110				
6 1	Issuance of a duplicate licence	600	Sub-clause 92 of Clause 1 of Article 333 33 of the Russian Tax Code	750	For all licenses
7.	999 1 0807081 010900 110				
7 1	Issuance of a diploma, certificate or other document confirming the level of qualifications	800	Sub-clause 72 of Clause 1 of Article 333 33 of the Russian Tax Code	1,300	
8.	999 1 0807081 01 0940 110				
8 1	Issuance of a duplicate diploma, certificate or other document confirming the level of qualifications in connection with the loss of such document	800	Sub-clause 72 of Clause 1 of Article 333 33 of the Russian Tax Code	1,300	
9.	999 1 0807200 01 0039 110				
9 1	Entering information on a non-profit organisation in the state register of self-regulating organisations, micro-finance organisations, and actuaries and in the state register of consumer credit cooperatives	4,000	Sub-clause 4 of Clause 1 of Article 333 33 of the Russian Tax Code	6,500	For self-regulating organisations, credit consumer cooperatives, MFOs, and actuaries

No.	Budget Classification Code				Note
	Type of Income Source (Legal Act)	Current amount of state fee, rubles	Regulation establishing state fee amount	State fee amount as of 1 January 2015, rubles	
92	Entering information on a legal entity in the state register of micro-finance organisations	1,000	Sub-clause 4 1 of Clause 1 of Article 333 33 of the Russian Tax Code	1,500	
93	Issuance of a duplicate certificate on entering information on a legal entity in the state register of micro-finance organisations to replace a lost or unusable document	200	Sub-clause 4 2 of Clause 1 of Article 333 33 of the Russian Tax Code	300	
94	Issuance of an authorisation confirming the status of a self-regulating organisation of professional securities market participants or a self-regulating organisation of the management companies of joint-stock investment funds, unit investment funds and non-government pension funds	20,000	Sub-clause 55 of Clause 1 of Article 333 33 of the Russian Tax Code	35,000	
95	Accreditation of organisations which certify individuals as professional securities market participants in the form of conducting qualifying examinations and issuance of qualification certificates	60,000	Sub-clause 74 of Clause 1 of Article 333 33 of the Russian Tax Code	95,000	

* In case of any translation ambiguity, the Russian version shall prevail.